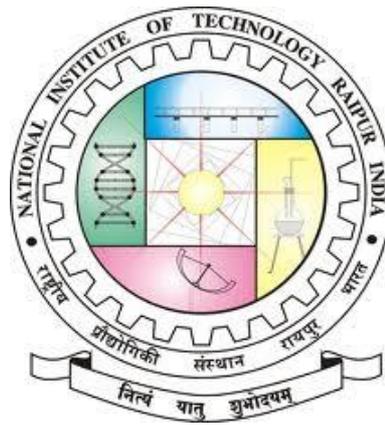


# INSTITUTIONAL GOVERNANCE GUIDELINES



**2016**

**National Institute of Technology Raipur  
G.E. Road, Raipur  
Chhattisgarh - 492010**

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## **PREFACE**

This document is guidelines for Governance of National Institute of Technology Raipur, Chhattisgarh to function smoothly and effectively. The structure of the higher management for every NIT is defined by the NIT Act 2007 and 2012 and by the statutes of 2009. The Director, appointed by the visitor, is the principal academic and executive officer is responsible for the proper administration of the institute. Clarity of command line and of administrative relationship is essential for successful operation of any organization. Basic objective of this document is to inform about the organization's administrative structure, and roles and responsibilities of various authorities including offices of various deans, registrar and section heads. The document also details about the various committees existing to assist the authorities. Lastly evaluation process for various sections and authorities are included.

## **SECTION – 1: INSTITUTE INTRODUCTION, VISION AND MISSION**

This section provides the information related to institute introduction and its vision, mission and objectives.

### **1.1. Introduction:**

The institute is committed to the challenging task of development of technical education by preparing seasoned graduates in highly sophisticated field of engineering and technology. Development of India as an emerging industrial power is a demanding exercise as it involves the combination of cost effectiveness and efficiency along with producing world-class technology at the cutting edge. For about Six decades we have been doing it with sincerity and commitment at NIT Raipur. At present the institute offers graduate level programmes in twelve disciplines, post graduate programmes in 10 specializations and Ph.D. in all disciplines.

The status of Government Engineering College, Raipur had been elevated to National Institute of Technology, Raipur by the Central Govt. with effect from 1st Dec. 2005. This Institute had been working since last few years with a mission to support growth and promotion of industries and community of the region.

### **1.2 Vision & Mission of the Institute**

#### **1.2.1 Vision**

To be a leader in technical and management education in India and to establish a unique identity for the development of high quality human and knowledge resource in diverse area of technology and management.

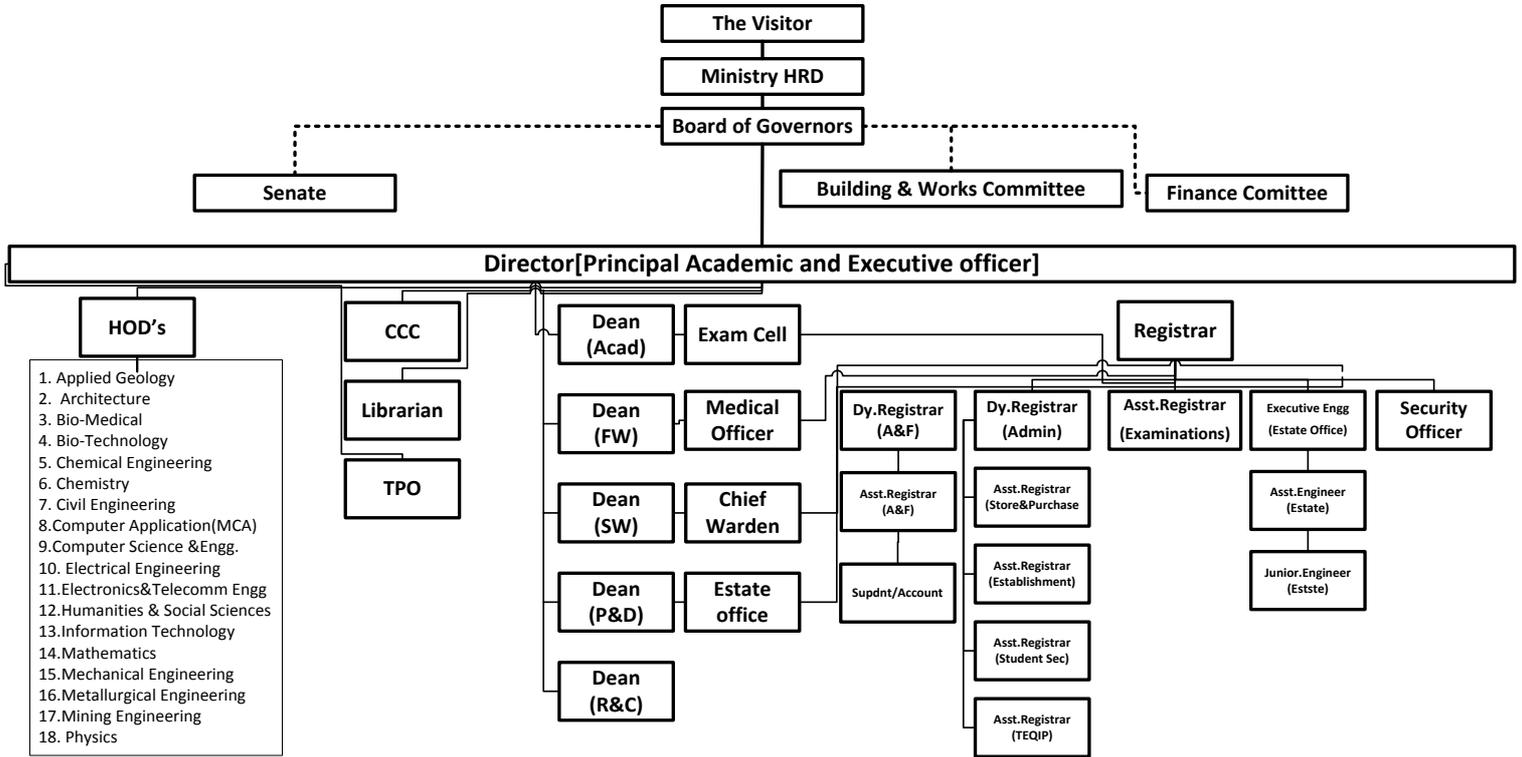
#### **1.2.2 Mission**

To Re-engineer the engineering education and to mould young students into rational thinking engineers who are motivated by a passion for professional excellence driven by human values and proactively engaged in betterment of society.

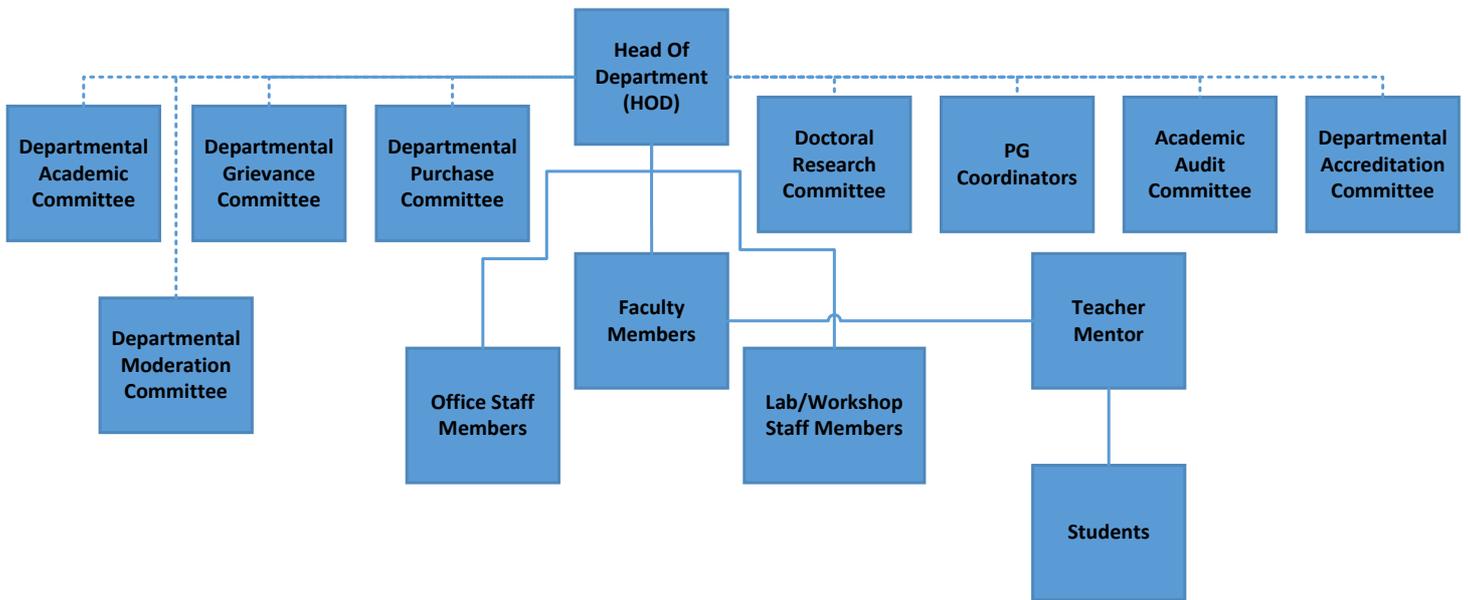
- Creating an environment to make teaching more learning centric rather than curriculum centric.
- To attract and retain highly qualified, talented, motivated staff.
- To focus not only on quality education but on total quality management of NIT Raipur.
- To provide good academic support facilities (Lab, Library, Internet) on continuous basis.
- To develop industry institute interface for collaborative research, internship and fellowship for PG Programme.

### 1.3 Organizational Structure:

## NIT Raipur Organizational Structure



## Head of Department at NIT Raipur



## **SECTION 2: ROLES & RESPONSIBILITIES OF AUTHORITIES:**

Roles & responsibilities of different authorities as identified by NIT Act 2007 and 2012 [1]& Statutes of 2009 [2] are followed and are listed below:

### **2.1 Board of Governors:**

#### **2.1.1 Power of the Board:**

- (a) Take decisions on questions of policy relating to the administration and working of the Institute;
  - (b) Institute courses of study at the Institute;
  - (c) Make Statutes;
  - (d) Institute and appoint persons to academic as well as other posts in the Institute;
  - (e) Consider and modify or cancel Ordinances;
  - (f) Consider and pass resolutions on the annual report, the annual accounts and the budget estimates of the Institute for the next financial year as it thinks fit and submit them to the Council together with a statement of its development plans;
  - (g) Exercise such other powers and perform such other duties as may be conferred or imposed upon it by this Act or the Statutes;
- 
- (i.) To abolish, re-designate or change the nomenclature of any post in the institute;
  - (ii.) To make, modify or cancel the statutes with the approval of the visitor from time to time;
  - (iii.) To make, modify and cancel all or any ordinance on recommendation of the Finance Committee or Senate of the Institute subject to the condition that making, modification and cancellation shall not be in contravention of the Act and (or) Statutes.

#### **2.1.2 Constitution:**

As per the requirement of the Ministry of Human Resources and Development (MHRD), which is regulatory body for institution, the following structure for BOG is presented.

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[1] NIT Acts: [http://mhrd.gov.in/sites/upload\\_files/mhrd/files/upload\\_document/NITact2007.pdf](http://mhrd.gov.in/sites/upload_files/mhrd/files/upload_document/NITact2007.pdf)

[2] NIT Statutes: <http://www.nitr.ac.in/downloads/statute-nits.pdf>

**Table 1: Structure of BOGAs proposed by MHRD, New Delhi \***

<b>S.No.</b>	<b>Position</b>	<b>Category</b>	<b>Nomination</b>	<b>No.</b>	<b>Period</b>
<b>1</b>	Chairman	Nominated by the visitor	Section 11 of NITs Act 2007 Clause (a)	<b>1</b>	<b>3 years</b>
<b>2</b>	Ex-Officio Member	Director of NIT	Section 11 of NITs Act 2007 Clause (b)	<b>1</b>	<b>3 years</b>
<b>3</b>	Ex-Officio Member	Ministry of Human Resource Development	Section 11 of NITs Act 2007 Clause (c)	<b>2</b>	<b>3 years</b>
<b>4</b>	Member	Nominee from the state government	Section 11 of NITs Act 2007 Clause (d)	<b>2</b>	<b>3 years</b>
<b>5</b>	Member	Nominee of NIT Council	Section 11 of NITs Act 2007 Clause (e)	<b>2</b>	<b>3 years</b>
<b>6</b>	Member	Nominee of the Senate	Section 11 of NITs Act 2007 Clause (f)	<b>2</b>	<b>2 years</b>
<b>7</b>	Member	Nominee of IIT in whose Zone the NIT is located	11 (g) of NITs (Amendment) Act 2012	<b>1</b>	<b>3 years</b>
<b>8</b>	Secretary	Registrar of NIT	Section 18 Clause (2)	<b>1</b>	<b>3 years</b>

### **2.1.3 Process:**

The Board shall ordinarily meet four times during a calendar year. The notice may be delivered to the board. Agenda shall be circulated by register to all members at least ten days before the meeting. The ruling of the chairperson with regard to all questions of procedure shall be final. The minutes of the proceeding of a meeting of the board shall be drawn up by the registrar and circulated to all members of the board present in India and the same along with amendment suggested shall be placed Before the Board in its next meeting for confirmation and after the minutes are confirmed and signed by the chairperson, they shall be recorded in the minutes book. The minutes book shall be kept open for inspection of the members of the board and the council at all times during office hours.

## **2.2 Senate:**

### **2.2.1 Powers of the Senate:**

Subject to the provisions of the Act, the Senate shall have the power to:

- (i) Frame and revise curricula and syllabi for the courses of studies for the various Departments and Centres;
  - (ii) Make arrangements for the conduct of examinations,; appointment of examiners, moderators, tabulators and other matters relating to the examinations;
  - (iii) Declare the results of the examinations or to appoint Committees or Officers to do so and to make recommendations to the – Board regarding conferment or grant of degrees, diplomas and other academic distinctions or titles;
  - (iv) Appoint Advisory Committees or Expert Committees or both for the Departments or Centres of the institute to make recommendations on academic matters connected with the working of the Departments or Centres;
  - (v) Appoint Committees from amongst the members of the Senate. Other teachers of the Institute and experts from outside to advise on such specific and important academic matters as may be referred to any such Committee by the Senate;
  - (vi) Consider the recommendations of the Advisory Committees attached to various Departments or Centres and that of Expert and other Committees and take such action (including them making of recommendations to the Board) as warranted by each case;
  - (vii) Make periodical review of the activities of the Departments or Centres and take appropriate action (including the making of recommendations to the Board);
- Supervise the working of the Library of the Institute;
- (viii) Promote research and academic development or activity within the Institute and seek reports on such research or academic development or activity from the persons engaged therein;
  - (ix) Provide for the inspection of the class rooms, Laboratories, Library and the Residential Hostels;

- (x) Plan co-curricular activities of the students of the Institute;
- (xi) Award stipends, scholarships, medals and prizes and makes other awards if accordance with such conditions as may be attached to the awards;
- (xii) Make recommendations to the Board with regard to the creation or restructuring of Departments or Programmes or Centres and the abolition of existing Departments or centres thereof;
- (xiii) Make recommendations to the Board to disseminate knowledge through distance learning mode to various parts of the State or country or abroad; and
- (xiv) Invite up to two student representatives during discussion of general nature not involving policy o-disciplinary matters in the Senate meetings.

### **2.2.2 Constitution:**

As per the NIT act, the members of the senate are as follows:

The Director, ex officio, who shall be the Chairman of the Senate;

- (i) The Deputy Director, ex officio;
- (ii) The Professors appointed or recognised as such by the Institute for the purpose of imparting instructions in the Institute;
- (iii) Three persons, one of whom shall be a woman, not being employees of the Institute, to be nominated by the Chairperson in consultation with the Director, from amongst educationist of repute, one each from the field of science, engineering and humanities; and
- (iv) Such other members of the staff as may be laid down in the Statutes.

### **2.2.3 Process:**

- (i) The senate shall meet as often as necessary as but ordinarily not less than four times during a calendar year.
- (ii) Meeting of the senate shall be convened by the chairperson of the senate either on his own motion or on a requisition signed by not less than one fifth of the members of the senate.
- (iii) Requisition meeting shall be a special meeting to discuss only those items of agenda for which requisition is given and shall necessarily be chaired by the Director and the requisition meeting shall be convened by the chairman of the senate on convenient date and time.

## **2.3 Finance Committee**

### **2.3.1 Powers of the Finance Committee:**

The finance Committee shall have power to:-

- (i) Examine and scrutinize the annual budget of the Institute prepared by the Director and make recommendations to the Board; and

- (ii) Give its views and make its recommendations on any financial question affecting the Institute to the Board either on the initiative of the Board or of the Director, or on its own motion.

### **2.3.2 Constitution:**

Finance committee consists following members as per NIT Statutes Part-II Sec. 3(i)(10)

- (i) The chairperson Board of governance, ex officio Chairman;
- (ii) The Director, ex officio member;
- (iii) Joint Secretary dealing with National Institutes of Technology or his nominee and Financial Advisor (Human Resource Development).or his Nominee members;
- (iv) Two persons nominated by the Board from amongst its members; and
- (v) The Registrar, ex-officio, Member – Secretary;

Provided that in addition to the above, the Chairman may, in consultation with the Director, co-opt a member as and when found necessary.

### **2.3.3 Process:**

- (i) The finance committee shall meet ordinarily four times in a year preferably before the meeting of the Board of Governors.
- (ii) Three members of the Finance Committee shall form a quorum for a meeting of the Finance Committee.
- (iii) The Chairman, shall preside over the meetings of the Finance Committee and in his absence, the Director shall preside over the meetings.
- (iv) The provisions in these First Statutes regarding notices of the meeting, inclusion of items in the agenda and confirmation of the minutes applicable to the meetings of the Board shall, so far as practicable may be, followed in connection with the meetings of the Finance Committee.
- (v) A copy of the minutes of every meeting of the Finance Committee shall be placed before the Board.
- (vi) All financial proposals shall be placed before the Finance Committee prior to being placed before the Board for consideration and approval.

## **2.4 Building and Works Committee (BWC):**

### **2.4.1 Powers and Functions of the Building and Works Committee:**

- (1) The Building and Works Committee shall,
  - (i) Under the directions of the Board shall carry on construction of all major work, after necessary administrative approval and expenditure sanction from Board;
  - (ii) Have the power to give the necessary administrative approval and expenditure sanction for minor works and works pertaining to repair and maintenance within the approved budgetary provision of the Institute;

- (ii) Cause to prepare estimate of cost of buildings and other capital works, minor works, repairs, maintenance and the like;
  - (iii) Be responsible for making technical scrutiny of the design, estimates and specifications of the material as may be considered necessary;
  - (iv) Be responsible for enlistment of suitable contractors and acceptance of tenders and shall have the power to give directions for departmental works where necessary;
  - (v) Have the power to settle rates not covered by tender and settle claims and disputes with contractors;
- (2) If in the opinion of the Chairman of the Building and works Committee, any emergency has arisen which requires immediate action to be taken, he shall take such action and report the same to the Building and works Committee and the Board at their next meeting.
- (3) The Building and Works Committee shall also function and exercise such powers as may be entrusted by the Board from time to time.

#### **2.4.2 Constitution:**

- (1) There shall be a Building and Works committee for each of the Institute, consisting of following members, namely:-
- (i) The Director, ex-officio Chairman;
  - (ii) One member nominated by the Central Government not below the rank of Director or Deputy Secretary
  - (iii) One member nominated by the Board of Governors
  - (iv) Registrar, ex-officio, Member Secretary
  - (v) Dean, planning and development or similar position- Member; and
  - (vi) One expert each from civil and Electrical Engineering wing of Central or state Government or any autonomous body of repute- Member.

#### **2.4.3 Process:**

- (i) The Building and works committee shall meet as often as necessary but ordinarily not less than four times a year.
- (ii) Three members shall form a quorum for a meeting of the Building and works Committee.
- (iii) The provisions in these statutes regarding notice of meeting, inclusion of items in the agenda and confirmation of the minutes applicable to the meeting of the Board shall, as far as practicable may be followed in connection with meetings of the Building and works committee also.
- (iv) A copy of the minutes of every meeting of the Building and works committee shall be placed before the Board.

## **SECTION: 3 DEPARTMENTS, SECTIONS AND APPOINTMENTS**

The institute shall be organized into such number of Departments and centres to be known by such names as the Board may within the budgetary provision approve from time to time, on the recommendations of the senate.

This section provides the introduction related to different departments:

### **3.1 Academic:**

- (i) Applied Geology
- (ii) Architecture
- (iii) Biomedical Engineering
- (iv) Biotechnology
- (v) Chemical
- (vi) Civil Engineering
- (vii) Computer Science & Engineering
- (viii) Department of Chemistry
- (ix) Department of Mathematics
- (x) Department of Physics
- (xi) Humanities & Social Sciences Department
- (xii) Electrical Engineering
- (xiii) Electronics & Telecommunication Engineering
- (xiv) Information Technology
- (xv) Master in Computer Application
- (xvi) Mechanical Engineering
- (xvii) Mining Engineering
- (xviii) Metallurgical Engineering
- (xix) Workshop

### **3.2 Non - Academic:**

- (i) Finance:
- (ii) Procurement
- (iii) Security
- (iv) Dispensary
- (v) Library
- (vi) Central Stores
- (vii) Student Section

### **3.3 Structure:**

#### **3.3.1 Academic:**

- HOD
- Professor, Associate Professor, Assistant Professor
- Technical Staff
- Non Technical Staff
- Class-III, Class-IV Employee.

#### **3.3.2 Non - Academic:**

- Assistant Registrar
- Superintendent
- Class – III, Class-IV Employee.

### **3.4 Classification of the Members of the Staff:**

- (1) Except in the case of employee paid from contingencies, the members of staff of the Institute shall be classified as under:
  - (i.) **Academic Staff:-** Director, Deputy Director, Professor, Associate Professor, Assistant Professor, Lecturer, Professor Training and Placement and such other academic posts as may be decided by the Board from time to time.
  - (ii.) **Technical Staff:-** System Manager, System Analyst Programmer, Librarian, Workshop Superintendent, Assistant Workshop Superintendent, Foreman, Technician, Instructor, Laboratory Assistant, Mechanic, Overseer, Technical Assistant, Draftsmen, and such other technical posts as may be decided by the Board from time to time.
  - (iii.) **Administrative and other staff:-** Registrar, Deputy Registrar and Assistant Registrar, Accounts Officer, Audit Officer, Estate Officer, Executive Engineer. Assistant and Junior Engineer, Medical Officer, Medical Assistant, Horticulture Assistant/Officer, Office Superintendent, Security Officer, Stores Officer, Store Keeper, Office Assistants, Data Entry Operators and such other Administrative and other Administrative and other staff as may be decided by the Board from time to time.

### **3.5 Appointments:**

- (1) The posts at the Institute shall be filled by advertisement on all India basis: Provided that the ratio between the Direct Recruitment and Promotion posts for posts other than that of the Director or the Deputy Director shall be as per the recruitment rules.
- (2) The reservation of posts shall be in accordance with the rules of the Central

Government.

- (3) For the appointments, the rules applicable to of the Central Government employees shall apply.
- (4) The Selection Committees, for filling posts under the Institute (other than the posts on contract basis) by advertisement or by promotion from amongst the members of staff of the institute, shall be constituted in such manner as laid down by Ministry of Human Resource Development, Department of Higher and Secondary Education, Government of India or Board from time to time ordinance.
- (5) The Selection Committees, for filling posts under the Institute (other than the posts on contract basis) by advertisement or by promotion from amongst the members of staff of the institute, shall be constituted in the following manner. Namely:
- (a) The Selection Committee for recruitment of Academic Staff (excluding the Director and the Deputy Director), or for promotion shall be as under:
- |   |   |          |
|---|---|----------|
| ▪ Director or Deputy Director   | - | Chairman |
| ▪ Visitor's Nominee   | - | Member   |
| ▪ Two nominee of the Board one being an expert,<br>But other than a member of the board | - | Member   |
| ▪ One expert nominee of Senate from<br>Outside the institute                            | - | Member   |
| ▪ Head of Department concerned<br>(for other than the post of Professor)                | - | Member   |
- (b) The Selection Committee for Technical posts shall be as follows:
- |  |   |          |
|--|---|----------|
| ▪ Director or Deputy Director                          | - | Chairman |
| ▪ One Expert from outside the Institute                | - | Member   |
| ▪ Nominee of Ministry of Human Resource<br>Development | - | Member   |
| ▪ Concern Head of Department                           | - | Member   |
| ▪ Registrar  | - | Member   |
- (c) The Selection Committee for Administrative and Ministerial Staff shall be as under:
- |  |   |          |
|--|---|----------|
| ▪ Director or Deputy Director                          | - | Chairman |
| ▪ One Expert from outside the Institute                | - | Member   |
| ▪ Nominee of Ministry of Human Resource<br>Development | - | Member   |
| ▪ Registrar  | - | Member   |

(d) The Selection Committee for Senior Administrative and other comparable posts carrying pay scale of Lecturer and above shall be as under:-

- |   |   |          |
|---|---|----------|
| ▪ Director or Deputy Director                       | - | Chairman |
| ▪ One Expert from outside the Institute             | - | Member   |
| ▪ Nominee of Ministry of Human Resource Development | - | Member   |
| ▪ Nominee of Board                                  | - | Member   |
| ▪ Registrar   | - | Member   |

- (6) The Registrar shall be substituted by another nominee of the Board in case the Selection is made for the Registrar or the equivalent post.
- (7) In the absence of the Deputy Director may nominate any member of the staff of the Institute to be the member of the Selection Committees in his place.
- (8) Where a post is to be filled on contract basis or by invitation, the Board may constitute such Adhoc Selection Committee, as circumstances of each case may require.
- (9) Where a post is to be filled by promotion for amongst the members of the Institute or temporarily for a period not exceeding twelve months, the procedure for the same shall be as specified by ordinances.
- (10) Not with standing anything contained in these Statutes, the Board shall have the power to make appointments of persons having special skill or knowledge to suit the emergent need of the department(s) or centre(s).
- (11) If the post is to be filled by advertisement, the Registrar shall advertise the terms and conditions of the post and the screening committee for the purpose of short listing the eligible and most desirable candidates and shall screen all applications received within the date specified in the advertisement.
- (12) At the time of interview, the selection committee shall examine credentials of all candidates who have been called for the interview, interview the eligible candidates recommend the appointment of the most suitable candidate to the competent authority for approval.
- (13) The recommendations for the selection committee shall remain valid for a period of one year from the date of interview and if for any reason the recommendations are not approved by the competent authority or appointment orders not issued after the approval of recommendations within the said period of one year, the recommendations shall lapse and fresh advertisement shall be issued.
- (14) No act or proceeding of any Selection Committee shall be called in question on the ground merely of the absence of any member or members of the Selection Committee.
- (15) Unless otherwise provided for under these Statutes, the Selection Committee

constituted for the purpose of making recommendations for appointment to a post shall continue to exercise its functions in relation to that post till the appointment is made against that post.

- (16) All appointments made at the Institute shall be reported to the Board at its next meeting.
- (17) The applications of the employees eligible for promotion under Assured Career Progressions (ACP) shall be considered by the Departmental Promotion Committees before any promotion or up-gradation is recommended.
- (18) The Departmental Promotion Committees shall be as follows:
  - Director or Deputy Director - Chairman
  - Concern Head of Department - Member
  - Head of Department from other Department - Member
  - Registrar - Member

## **SECTION: 4 DIFFERENT MEMBERS AND THEIR POWERS AND RESPONSIBILITIES**

The present section provides the information of different members and their responsibilities:

### **4.1 Powers of the Chairperson, Board of Governors:**

In addition to the powers provided in the Act, the chairperson of the Board of Governors shall have the following powers, namely:-

- (i.) He shall have the power to fix, on the recommendations of the selection Committee, the initial pay of an incumbent at a stage higher than the minimum of the scale in respect of posts to which the appointments can be made by the Board under the provisions of the Acts;
- (ii.) He shall have the power to send members of the staff except the Director of the Institute for training or for a course of instruction. Outside India subject to such terms and conditions as may be laid down by the Board from time to time. Incidentally, the visit abroad by the Director shall be approved by the Chairman. National Institute of Technology Council;
- (iii.) He shall execute the contract of service between the Institute and the Director or Deputy Director on behalf of the Central Government. But he shall not be personally liable of anything under such contract; and
- (iv.) In emergent cases the Chairperson may exercise the powers of the Board and inform the Board of the action taken by him for confirmation and ratification.

### **4.2 The Director and his Powers:**

- (i) The Director of the Institute shall be appointed by the Visitor on contract basis on the recommendation of a selection Committee constituted by him consisting of at least five members including the Chairman who are experts in the field of technical education with experience at national and international level.
- (ii) The Director shall be appointed for a period of five years and shall be governed by the terms and conditions of the Contract of service entered into between the institute and the Director in form specified in Schedule-
- (iii) Subject to the budget provisions made for the specific purpose, the Director shall have the power to incur expenditure in accordance with the procedure as may be laid down in the ordinances.
- (iv) The Director shall have the power to appropriate funds with respect to different items constituting the recurring budget up to a limit specified for the head of Department in the Central Government for each item; Provided that such appropriation shall not involve any increase in the budget and any liability in future years; Provided further that every such appropriation shall as soon as possible, be

reported to the Board.

- (v) The Director shall have the power to write off irrecoverable losses up to a limit of ten thousand rupees and of irrecoverable value of store items lost or rendered un serviceable, due to normal wear and tear or obsolete up to a limit of twenty five thousand rupees subject to such stipulations as may be made by the Board from time to time.
- (vi) The Director shall have the power to donate obsolete equipment or store items, as identified by a Committee constituted for this purpose by the Director, to any educational institution in the vicinity of the Institute up to such limits as may be decided by the Board from time to time
- (vii) The Director, where he is the appointing authority, shall have the power to fix, on the recommendations of the selection Committee, the initial pay of an incumbent at a stage higher than the minimum, of the scale, but not involving more than five increments, in respect of posts to which appointment can be made by him under the powers vested in him by the provision of the Act or these statutes.
- (viii) The Director shall have the power to employ teaching supporting staff in the Laboratories, technical Instructor and skilled workman, paid from contingencies from time to time, for not more than one year on such remuneration as may be decided by the Board.
- (ix) The Director shall have the power to send members of the staff for training or to attend course of instruction inside India subject to such terms and conditions as may be specified by the ordinance.
- (x) The Director shall have the power to sanction temporary allocation of any purpose other than that for which it was constructed.
- (xi) If for any reason the registrar is temporarily absent for a period not exceeding one month; the Director may take over or assign to any faculty member or member of staff of the institute. Any of the functions of the Registrar as he deems fit: Providing that if at any time the temporary absence; of the registrar exceeds one months, the Board may, if it thinks fit, authorize the Director to take over or assign the function of the Registrar, for a period exceeding one month.
- (xii) All contract for and on behalf of the Institute except the one between the Institute and the Director shall when authorized by a resolution of the Board passed in that behalf be in writing and be expressed to be made in the name of the Institute by the Director, but the Director shall not be personally liable in respect of anything under such contract.
- (xiii) The Director may, during his absence from headquarters, specifically authorize in writing the Deputy Director or in his absence, one of the Deans or the Senior most Professor present to sanction advances for travelling allowance, contingencies and medical treatment of the staff and sign and counter-sign bills on

his behalf.

- (xiv) The Director may, at his discretion constitute such committees. as he may consider appropriate for smooth functioning of the Institute.
- (xv) In the event of the occurrence of any vacancy in the office of the Chairperson by reason of his death, resignation or otherwise or in the event of the Chairperson being unable to discharge his functions owing to absence, illness or any other cause. tile Director may discharge the functions assigned to the chairperson under section 16 of the Act.
- (xvi) The Director may, with the approval of the Board delegate any of his powers, authorities or responsibilities vested in him by virtue of the Act and Statutes to one or more members of Academic or Administrative Staff of the Institute.

### **4.3. Deanships:**

The institute may have not more than six dean-ships. There may be following Dean-ships in National Institute of Technology with the approval of the Board of Governors:

- Dean Academic
- Dean Planning & Development'
- Dean Student Welfare
- Dean Faculty Welfare
- Dean (Research and Consultancy)

Deanship is of functional position and not administrative one and such be discharged in its right spirit. Dean must be nominated by the Director only from amongst the Professor/Associate Professors, but should not be Head of the Department.

The Tenure of Deanship shall ordinarily be two years extendable by one more year, but Director with the approval of the Chairperson, Board of Governors may relieve any all Deans before such period.

### **Duties and Responsibilities of Deans**

The following duties and responsibilities have been entrusted to the Deans

#### **4.3.1 Dean (Academic)**

He/She will advice the Director in:

- (i) Admission and enrollment of students.
- (ii) Finalization of academic calendar, time-tables, registration of students for course work and examinations, classroom arrangements and all other requirements for proper conduct of class work.
- (iii) Conduct of class tests and co-coordinating the finalization of session's evaluations and for ensuring the timely declaration of results.

- (iv) Supervisions of the maintenance of up-to-date academic records of all categories of students.
- (v) Publication and distribution of the syllabi.
- (vi) Organizing meeting of all the Institute level academic bodies.
- (vii) Arranging the issue of all academic certificates, medals and prizes to the students.
- (viii) To arrange or conduct of those examinations which are to be conducted by the Institute as stipulated in the Institute regulations.
- (ix) To formulate policies for the conduct of research and steps to maintain suitable standard by implementing the Board of Governors/Senate decision.
- (x) To execute the policy of the Senate in the the conduct of P.G., Ph.D. and other research programmes including the examination of the thesis.
- (xi) To co-ordinate for the conduct of Convocation.
- (xii) All proposals to modify the teaching programmes will be considered by BOAC, for which Dean (Academic) i.e. the Chairman and if approved will be sent to the Senate for formal approval.
- (xiii) To admit sponsored Early Faculty Induction Programme and Quality Improvement Programme candidates.
- (xiv) To suggest the Director to take suitable steps from time to time to strive for the high academic standards.

#### **4.3.2 Dean (Planning and Development)**

He/She will advice the Director in the following:

- (i) Planning and expansion and diversification of institutional activities and preparation of all developmental proposals, to the extant up to submission of plan & estimates related to Civil, Electrical, Works, Sanitary, Network system etc.
- (ii) Maintenance of all necessary statistical data regarding plan & projects required for compilation of various periodically required to be sent to Ministry of Human Resource Development and other agencies.
- (iii) Monitoring the physical targets and utilization of funds in respect of Project & Consultancy and in the preparation of relevant papers for submission of progress reports.
- (iv) Formulating proposal for new courses and in organizing meetings of faculty members and external experts for this purpose in this regard.
- (v) In the efforts to expand and monitor the activities of consultancy, testing and sponsored research of Institute and to ensure submission of progress reports;
- (vi) In coordinating the formulation and conduct of non-formal and continuing education and extension programmes.
- (vii) To arrange for the agenda and organization of the meeting for procurement of

equipments related to projects and testing & consultancy.

- (viii) Providing necessary data for the budget and new estimates & plans to the Building & work Committee to the Registrar.

#### **4.3.3 Dean (Students Welfare)**

- (i) He/she will advise the Director in organizing the students counseling.
- (ii) He/she will be responsible for the publication of students 'Magazine, News Bulletins, Newsletters etc.
- (iii) He/she will advise the Director in matters related to students discipline and welfare.
- (iv) He/she will assist the Director in matters related to students Union/Association/Council.
- (v) He/She will co-ordinate the NCC, NSS, Games, Swimming Pool, Sports, Cultural and Co-curricular and Extra-curricular activities of the students.
- (vi) He/she will keep a record of Alumni and correspond with them.
- (vii) He/she will conduct the enquiries of students indulged in indiscipline.
- (viii) He/She will correspond with Parents/ Guardians of Students about their progress and individual problems/welfare.

#### **4.3.4 Dean (Faculty Welfare)**

He/she will advise the Director in matters related to:

- (i) Deputation of faculty to various institutions under Quality Improvement Program.
- (ii) He/she will advise the Director for deputation of the faculty members to various conferences, seminars, short term courses, training programmes, foreign teaching/training assignments etc.
- (iii) He/she chair the committee meetings of the evaluation of papers submitted or to be submitted to the conferences/ seminar by the faculty members.
- (iv) He/she will assist the Director in organizing training programmes for faculty.
- (v) He/she will assist the Director in the supervision of the construction and the maintenance work of buildings, roads, water supply, sanitation, lawns and gardens, communication networks, water coolers, air conditioners, telephones etc.
- (vi) He/she will assist the Director in maintaining the discipline and work ethos among the various departments and between the faculty members.
- (vii) He/she will assist the Director in maintaining the high academic standards and achieving academic excellence in the institution.
- (viii) Supervision over faculty discipline, integrity and commitment.

#### **4.3.5 Dean (Research and Consultancy)**

He/she will advise the Director in matters related to:

- (i) Frame rules for industrial sponsored research and consultancy.
- (ii) Create and maintain database regarding faculty expertise.
- (iii) Facilitate through his/her office faculty in procuring equipments necessary to conduct research/ consultancy work, recruitment of project staff.
- (iv) Coordinate co-curricular activities (technical festivals, quizzes etc.) for the students.
- (v) Provide guidance for submitting proposals to funding agencies such as Department of Science and Technology (DST), Bhabha Atomic Research Centre (BARC), Board of Research in Nuclear Sciences (BRNS), Indian Space Research Organization (ISRO), Defence Research and Development Organization (DRDO), Aeronautics Research and Development Board (AR&DB), Ministry of Information Technology, etc.

#### **4.4 Head of the Department or Centre**

- (i) Each Department and Centre of the Institute shall be placed in charge of a Head who shall be selected by the Director. From amongst the Professors and Associate Professors of that Department or Centre: Provided that if a Department or Centre has no Professor or Associate Professor. The Director may appoint an Assistant Professor of that Department or Centre to head the department or centre.
- (ii) The Head of a Department or Centre shall hold his post for a term of two years. Provided that after the expiry of his term of office, he shall continue to hold office till the appointment of his successor/Provided further that no person shall head a Department or Centre continuously for a period exceeding three years unless he is specially appointed at least for a second term.
- (iii) The Director may himself take temporary charge of a Department or place it under the charge of the Deputy Director or a Professor from another Department for a period not exceeding six months.
- (iv) The Head of Department shall be responsible for the entire working of the Department subject to the general control and supervision of the Director.
- (v) The Head of Department shall be duty bound to see that the decisions of the authorities of the Institute and of Director are faithfully carried out. He shall perform such other duties as may be assigned to him by the Director or Senate.
- (vi) When appointment to the post of Head of Department or Centre becomes due, the Director shall ascertain the willingness of the persons eligible to be appointed as Head, for being so appointed, and shall generally select a person by rotation from among eligible and willing persons.
- (vii) Whenever it is proposed to deviate from the principle of rotation, such appointment shall be made only with the prior approval of the Chairperson,

Board of Governor sand for reasons to be recorded in writing and shall also be reported to the Senate and the Board, along with reasons for deviation, in their next meetings.

#### **4.5 Registrar:**

The Registrar is responsible to the Director for the proper discharge of his function.

- (i) He is the custodian of record and the common seal of the Institute and such other Property of the Institute as the Board of Governors commit to his charge.
- (ii) He deals with legal matters pertaining to administration.
- (iii) He is the Chief Administrative Officer of the Institute and Reporting Officer to
- (iv) All the Administrative officials.

#### **4.6 Assistant Registrar (Establishment):**

To deal with all the matters pertaining to personal management/establishment of the staff appointed on regular, temporary, ad-hoc or contractual engagement.

- (i) Maintain record of every individual on the strength of the institute, whether permanent or temporary.
- (ii) Maintain Service Book record and process the matters pertaining to appointment, engagement, promotion, service conditions, disciplinary matters, leave, Leave Travel Concession, Police Verification, deputation of staff on official duties, preparation of salary bills, pension bills and any other matter assigned by the authorities and to supervise, monitor and guide the subordinates working under her, in performance of their duties.

#### **4.7. Assistant Registrar (Academic):**

- (i) Deals with all academic matters such as admission (UG, PG and Ph. D), enrolment etc.
- (ii) Issuing of Identity Cards, maintaining personal records of students admitted.
- (iii) Assisting in preparation and maintenance of Academic calendar, conducting examination.
- (iv) Conduct of examinations, conduct of convocation, award of degrees, awards, medals.
- (v) Issuing transfer certificate, migration certificate, bonafied certificate, Grade cards, degrees, and maintaining the record of legal cases arising out of academic matters.

#### **4.8 Assistant Registrar (Finance & Accounts):**

- (i) Assisting in preparation of Annual budget, revised budget estimates, maintaining every financial transaction, dealing with Income Tax of the employees,

Professional Tax and other taxes, disbursement of salary, pension, scholarships, remunerations, contractual amounts, payment against bills.

- (ii) Liaisoning with banks having Institute accounts, and coordination with the office of the Comptroller and Accountant General maintaining financial record and to carry out any other duties assigned by the Registrar.
- (iii) The Assistant Registrar is also carry out the activities such as Supervising, monitoring and providing guidance to the sub-ordinates working in Accounts Section.

#### **4.9 Librarian:**

He shall maintain the record of the books and journals available in the library and extend the book facilities to staff and students.

- (i) He shall maintain the record of books under different heads and procure the books as per the requisitions received from the staff and Institute authorities.
- (ii) He shall provide the reading room facility to the staff and students and shall be responsible for security of the books and other material.
- (iii) He shall provide the facilities approved by the Government / Board of Governors such as Social Welfare Book Bank, books from Students' Aid Fund etc. to the needy students.
- (iv) He shall update the library as per requirement. He shall supervise the circulation/transaction through Assistant Librarian and other staff.
- (v) He is also required to supervise, monitor and guide the sub-ordinates working under him, in performance of their duties.

## **SECTION 5: PERFORMANCE EVALUATION**

### **5.1 Academic Audit:**

The purpose of the auditing is to check whether the present syllabus and scheme meets present requirement of the industries and society. This being done using internal and external committees. Internal academic audit committee consists of two experts from reputed academic institutes and one from the industry. In every visit the members will examine the present status and provide suggestion for the improvement. The improvement may be approved by DAC, Senate and BOG if required. External Academic audit was done by team which is formulated by MHRD. This committee is also having same responsibilities of internal academic audit and follows the same process.

### **5.2 Financial Auditing:**

The institute is having the internal and external auditing team for the financial matters. Internal auditing committee is useful for proper functioning of the financial activities. The external auditing was done by the CAG which is a central government agency.

### **5.3 Feedback system:**

Feedback system is taken from all semester students after the completion of semester and the results were analysed by HOD. The analysis was discussed in the DAC to take the further action for the improvement of the faculty and thereby department. The template is available in Annex 1

### **5.4 Good Governance Review:**

The institute is also regularly monitoring the performance of all the members by TEQIP good governance template. The template is shown in the Annex 2

### **5.5 National Board of Accreditation**

Institute is also participating in NBA accreditation. The purpose of the accreditation by NBA is to promote and recognize excellence in technical education in colleges and universities—at both the undergraduate and post graduate levels. Institutions, students, employers, and the public at large all benefit from the external verification of quality provided through the NBA accreditation process. They also benefit from the process of continuous quality improvement that is encouraged by the NBA's developmental approach to promote excellence in technical education. Through accreditation, the following main purposes may be served:

- (i) Support and advice to technical Institutions in the maintenance and enhancement of their quality of provision.
- (ii) Confidence and assurance on quality to various stakeholders including students.
- (iii) Assurance of the good standing of an Institution to government departments and other interested bodies.

- (iv) Enabling an Institution to state publicly that it has voluntarily accepted independent inspection and has satisfied all the requirements for satisfactory operation and maintenance of quality in education.

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## Annex 1: Student Feedback form



# NATIONAL INSTITUTE OF TECHNOLOGY, RAIPUR

## STUDENT FEEDBACK FORM

The student feedback form has been designed with the prime objective of making our educational system more effective for you to achieve excellence. This exercise is meant to collect your opinion of different facets of education being imparted and will be utilized in improving the process of education. Please base your judgement on your honest and unbiased observations. Answer independently, objectively and with a sense of responsibility.

**Name of the Student:**.....**Roll No. :**.....  
 (Optional) (Optional)  
**Semester & Program:** .....**Session:** 20 - 20

Please fill in the points (rating from 1 to 10) as per your opinion for each of the criterion below.

PART -A		Feedback Regarding Teaching					
S. No.	Criterion	Courses(1 to 6)					
	<b>Course codes →</b>						
1	Was a course outline provided at the beginning? (1:Not at all.....10: Provided clearly)						
2	Were classes conducted regularly and punctually? (1: Not at all ..... 10: Always so)						
3	Was the classroom delivery audible and understandable? (1: Not at all ..... 10: Always so)						
4	Was proper pace of teaching maintained? (1: Not at all ..... 10: Always so)						
5	Whether the subject is linked with real life experiences & has created interest. (1: Not at all ..... 10: Always so)						
6	General interaction of instructor with students. (1: Very Poor.....10: Excellent)						
7	Were enough opportunities provided for questions and discussions? (1: Never.....10: Always)						
8	Were questions answered satisfactorily? (1: Never.....10: Always)						
9	Whether the test papers evaluated timely? (1: Never.....10: Always)						
10	Were test papers discussed in the class after the test? (1: Never.....10: Always)						
PART-B		Feedback regarding Course offered					
1	Is the course well organized in its sequence? (1: Not at all.....10: Definitely)						
2	Is the subject coverage adequate for one						

	semester load? (1: Too less .....10:Excessive load)						
3	Did the courses deepen your interest in the subject? (1: Not at all.....10: Definitely, yes)						
4	How did you find the course? (1: Boring.....10: Very exciting)						
5	Whether numerical/home assignments given and evaluated regularly? (1: Not at all .....10: Always)						
<b>PART-C</b>		<b>Feedback regarding Laboratory Courses</b>					
1	Were manuals/data sheets, write-ups etc. available in the labs? (1: Not at all .....10 : Always)						
2	Was lab equipment functional while you were experimenting? (1: Not at all .....10: Always)						
3	Are the lab facilities adequate? (1: Not at all .....10: Always)						
4	Were you given proper assistance in the lab? (1: Not at all .....10 : Always)						
5	Were sufficient numbers of practical conducted to illustrate important topics of the course? (1: Not at all .....10 : Always)						

**Any other Comments/Suggestions based on (What you liked most or least about the course):**

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## ANNEX II: GOVERNANCE SELF REVIEW FORMAT

	<b>GOVERNANCE SELF-REVIEW QUESTIONS</b>	<b>GRADE</b>	<b>SUPPORTING EVIDENCE AND ITS DESCRIPTION</b>	<b>DEVELOPMENT PLAN</b>	<b>EXPECTED DELIVERY TIMEFRAME</b>
<b>A. PRIMARY ACCOUNTABILITY</b>					
A1	Has the Governing Body approved the Institutional Strategic vision, mission and plan – identifying a clear development path for the institution through its long –term business plan and annual budgets?				
A2	Has the Governing Body ensured the establishment and Monitoring of proper, effective and efficient system of control and accountability to ensure financial sustainability (including financial and operational controls, risk management, clear procedures for managing physical and human resources) ?				
A3	Is the Governing Body monitoring institutional performance and quality assurance arrangements? Are these benchmarked against other institutions (including accreditation, and alignment with nation and international quality assurance system) to show that they are broadly keeping pace with the institution they would regard as their peers or competitors to ensure and enhance institutional reputation?				
A4	Has the Governing Body put in place suitable arrangement for monitoring the head of the				

	institution's performance?				
<b>B. OPENNESS AND TRANSPERENCY IN THE OPERATION OF GOVERNING BODIES</b>					
B1	Does the Governing Body publish an annual report on institutional performance?				
B2	Does the Governing Body maintain, and publicly disclose, a register of members of its governing body?				
B3	Is the governing Body conducted In open a manner, and does it provide as much information as possible to student, faculty, the general public and potential employers on all aspects of institutional activity related to academic performance, finance?				
<b>C. OPENNESS AND TRANSPERENCY IN THE OPERATION OF GOVERNING BODIES</b>					
C1	Are the size, skills, competences and experiences of the governing Body ,such that it is able to carry out its primary accountabilities effectively and efficiently and ensure the confidence of its stakeholders and constituents?				
C2	Are the recruitment processes and procedure for governing body members rigorous and transparent?				
C3	Does the governing body have actively involved independent members and is the institution free from direct political interference to ensure academic freedom and focus on long term educational objective.				
C4	Are the role and responsibilities of the chair of the Governing Body, head of the institute				

	and the member secretary serving the governing body clearly stated?				
C5	Does the Governing Body meet regularly? Is there clear evidence that members of the governing body attend regularly and participate actively?				
<b>D. OPENNESS AND TRANSPERENCY IN THE OPERATION OF GOVERNING BODIES</b>					
D1	Does the Governing Body keep their effectiveness under regular review and in review ing its performance, reflect on the performance of the institution as a whole in meeting its long term strategic objectives and its short term indicators of performance /success?				
D2	Does the Governing Body ensure that new members are properly inducted, and existing members receive opportunities for further development as deemed necessary.				
<b>E. REGULATORY COMPLIANCE</b>					
E1	Does the Governing Body ensure regulatory compliance and, subject to this, take all final decisions on matter of fundamental concern to this institution.				
E2	Does the regulatory compliance include demonstrating compliance with the ‘not –for-profit’ purpose of education institutions.				
E3	Has there been accreditation and/or external quality assurance by national or professional body? If so give details: name, status of current accreditation etc.				

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